



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/718,767	11/22/2000	Tsuyonobu Hatazawa	09792909-4673	2706

26263 7590 11/05/2004

SONNENSCHN NATH & ROSENTHAL LLP  
P.O. BOX 061080  
WACKER DRIVE STATION, SEARS TOWER  
CHICAGO, IL 60606-1080

EXAMINER

CREPEAU, JONATHAN

ART UNIT PAPER NUMBER

1746

DATE MAILED: 11/05/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

09/718,767

Applicant(s)

HATAZAWA ET AL.

Examiner

Jonathan S. Crepeau

Art Unit

1746

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 30 August 2004.
- 2a) ☒ This action is FINAL. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-11 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-11 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- ☐ Notice of References Cited (PTO-892)
- ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)
- ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_
- ☐ Notice of Informal Patent Application (PTO-152)
- ☐ Other: \_\_\_\_\_

## **DETAILED ACTION**

### ***Response to Amendment***

1. This Office action addresses claims 1-11. Although they have been amended, the claims remain rejected under 35 USC §103 for substantially the reasons of record. Accordingly, this action is made final.

### ***Claim Suggestions***

2. In claim 1, the amendatory language recites that the gas absorbable material has a thickness in a range of 50 microns to 1 mm. However, as is apparent from the specification, it is the gas absorbable *member* (i.e., the combination of the gas absorbable material and the resin) that has such a thickness. It is further noted that first and second “gas absorbable members” are recited *after* the amendatory language. Revision of the claim is suggested.

### ***Claim Rejections - 35 USC § 103***

3. Claims 1-6 and 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Chaloner-Gill (U.S. Patent 5,445,856) in view of Bullock et al (U.S. Patent 5,219,676).

Regarding claim 1, Chaloner-Gill teaches a nonaqueous electrolyte battery comprising a lithium metal anode (see column 3, line 40). Regarding claim 11, the battery is a secondary battery (see col. 3, line 31). With regard to claim 1, as shown in Figure 1, the battery element (10) is contained in an outer covering member composed of a laminated film (5) and is sealed by

heat seals. The laminated film has two outer covering members, each having a recess therein (see Figs. 3 and 4). The laminate may be in the form of a single sheet, with the first and second covering members folded together and heat-sealed (see claims 8 and 17 of Chaloner-Gill). Regarding claims 1, 5, and 6, the battery comprises a gas absorbing material which is mixed with a resin material and extruded (i.e., molded) to form a gas absorbing member which forms one of the inner layers of the laminate (see col. 2, lines 48-63). Regarding claim 1, the gas absorbing material is present in a first gas absorbable member on a first side of the battery element (in member 30) and in a second gas absorbable member on a second side of the battery element (in member 31) (see Figs. 1, 4, and 5). Regarding claim 1, in the preferred embodiments, the laminate has a thickness of less than about 500 microns or less than about 250 microns (see col. 9, line 39). In the case of the latter, the thickness of each layer is preferably 20-30 microns (see col. 9, line 42). Regarding claims 2-4, the gas absorbing material may comprise a porous metal oxide (e.g., alumina) or activated carbon material (see col. 8, line 13). Regarding claim 1, although the reference does not teach that the recesses in the laminate sheets are "preformed," this is a process limitation that is given little patentable weight since it does not limit the structure of the claimed product. See MPEP §2113.

The reference further does not expressly teach that the gas absorbing material is present in an amount of 0.1 to 95 wt. percent on a basis of a weight of the resin material, or that the gas absorbing members have a thickness of between 50 microns and 1 mm, as recited in claim 1.

However, the invention as a whole would have been obvious to one of ordinary skill in the art at the time the invention was made because the artisan would be motivated to use a

suitable amount of gas absorbing material based on the size of the battery and/or electrode element, thereby rendering the claimed range obvious. It has been held that the discovery of an optimum value of a result effective variable in a known process is ordinarily within the skill of the art. *In re Boesch*, 205 USPQ 215 (CCPA 1980). In this case, it is known that an amount of gas absorbing material can be selected based upon the size of the battery, as shown by column 6, lines 26-35 of Bullock et al.:

The amount of the gel will vary from battery size to battery size within the guidelines of being a sufficient quantity to absorb all water vapor produced during the self-discharge reactions. For normal 12V automobile batteries having six cells, the amount could range between about 50 grams to 300 grams. One skilled in the art could readily select a desiccant quantity by knowing the battery size, plate construction and volume of electrolyte left in the battery after the dumping step.

Although Bullock relates to lead-acid batteries, its teachings regarding the battery size would be applicable to all batteries employing a gas absorbing agent. Accordingly, the artisan would be motivated to use a suitable amount of gas absorbing material in the battery of Chaloner-Gill, thereby rendering the range of claim 1 obvious.

Additionally, the thickness range recited in claim 1 is not considered to distinguish over the references. Claim 1 recites that the members have a thickness of between 50 microns and 1 mm. As noted above, Chaloner-Gill teaches that in a seven-layer construction, each laminate sheet preferably has a thickness of less than about 500 microns (or less than about 250 microns). In the case of 500 microns, each sheet would have a thickness of about 70 microns. As such, this disclosure fairly suggests the claimed range of 50 microns to 1 mm.

4. Claims 7-10 are rejected under 35 U.S.C. 103(a) as being unpatentable over Chaloner-Gill in view of Bullock et al. as applied to claims 1-6 and 11 above, in further view of Kamauchi et al (U.S. Patent 5,538,814).

Chaloner-Gill does not expressly teach that the electrolyte is a gel electrolyte (claim 7), that the negative electrode contains a carbon intercalation material (claims 8, 9), or that the positive electrode contains a composite oxide of lithium and a transition metal (claim 10).

The patent of Kamauchi et al is directed to a lithium secondary battery. The battery may contain lithium cobalt oxide in the positive electrode (col. 4, line 30), a carbon negative electrode (col. 7, line 7), and a gel electrolyte containing a high molecular weight matrix polymer (col. 8, line 67; col. 11, line 5).

Therefore, the invention as a whole would have been obvious to one of ordinary skill in the art at the time the invention was made because the disclosure of Kamauchi et al. provides the artisan sufficient motivation to use these materials in the battery of Chaloner-Gill. In column 4, line 41, Kamauchi et al. teach that the lithium cobalt oxide, when combined with other materials, provides the battery with "high electromotive force," and in column 7, lines 6-9, the reference teaches that the carbon negative electrode "effectively prevents dendrite[s] without lowering energy density of the secondary battery." Further, the reference teaches in column 12, lines 46-59 that the gel electrolyte "shows good adhesion with electrodes, which leads to an improved ionic conductivity." Accordingly, the artisan would be motivated to use each of these materials in the battery of Chaloner-Gill.

***Response to Arguments***

5. Applicant's arguments filed August 30, 2004 have been fully considered but they are not persuasive. Applicants assert that Chaloner-Gill "teaches away" from the claimed thickness range of 50 microns to 1 mm because it discloses a preferred layer thickness of 20-30 microns in col. 9, line 42. However, disclosed examples and preferred embodiments do not constitute a teaching away from a broader disclosure or nonpreferred embodiments. *In re Susi*, 440 F.2d 442, 169 USPQ 423 (CCPA 1971); MPEP §2123. As noted above, the broader disclosure of a total thickness of 500 microns for a seven-layer laminate is believed to fairly suggest the claimed range. Accordingly, claim 1 is still believed to be rendered obvious by the references.

***Conclusion***

6. THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

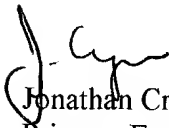
A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event,

however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jonathan Crepeau whose telephone number is (571) 272-1299. The examiner can normally be reached Monday-Friday from 9:30 AM - 6:00 PM EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael Barr, can be reached at (571) 272-1414. The phone number for the organization where this application or proceeding is assigned is (571) 272-1700. Documents may be faxed to the central fax server at (703) 872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

  
Jonathan Crepeau  
Primary Examiner  
Art Unit 1746  
November 2, 2004